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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) & 1.97(c))

Docket No.
14000.11 / CC

In Re Application Of: Hugh S. West, Jr.

JUN 18 2004
PATENT & TRADEMARK OFFICE

Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

09/977,154

October 12, 2001

Anu Ramana

022913

3267

3848

Title: **IMPROVED INTERFERENCE SCREW HAVING INCREASED UPPER DIAMETER**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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IMPROVED INTERFERENCE SCREW HAVING INCREASED UPPER DIAMETER**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.

- ☐ Charge the amount of
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

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Dated: June 18, 2004

Signature

John M. Gynn
Attorney for Applicant
Reg. No. 36,153
Customer No. 022913

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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hugh S. West, Jr.

Serial No. 09/977,154

Filed: October 12, 2001

For: IMPROVED INTERFERENCE SCREW HAVING
INCREASED UPPER DIAMETER

Examiner: Anu Ramana

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) Art Unit
) 3627
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)CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to: Director of Patents and Trademarks, Washington, D.C. 20231.

Date of deposit: June 18, 2004.

- Transmittal (2 pgs.)
- Supplemental Information Disclosure Statement (2 pgs.)
- PTO-2038 in the amount of \$180.00
- PTO-1449 – Listing 5 cited references (2 pgs.)
- Copy of 5 cited references
- Postcard

Respectfully submitted,

Kimberly Kendrick, Paralegal to John M. Guynn

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JMG:kk

A circular black ink stamp. The outer ring contains the text "OPEDE" at the top and "OFFICE OF INTELLECTUAL PROPERTY & ECONOMIC DEVELOPMENT" at the bottom. The center of the stamp contains the date "JUN 18 2004".

Docket No.: 14000.11

In re application of:

Hugh S. West, Jr.

Serial No.: 10/977,154

Filed: October 12, 2001

Confirmation No.: 3848

For: IMPROVED INTERFERENCE SCREW HAVING
INCREASED UPPER DIAMETER

Examiner: Anu Ramana

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

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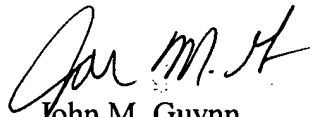
Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 10th day of June 2004.

Respectfully submitted,



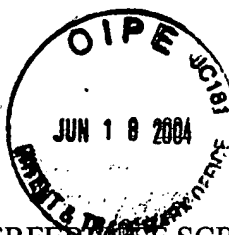
John M. Guynn
Attorney for Applicant
Registration No. 36,153
Customer No. 022913

JMG:kk
KKK0000003403V001

Form PTO-1449

Sheet 1 of 2

Applicant: Hugh S. West, Jr.
Serial No. 09/977,154
Confirmation No. 3848
Filing Date: October 12, 2001
For: IMPROVED INTERFERENCE SCREW HAVING INCREASED UPPER
DIAMETER



Att'y Docket No. 14000.11
Group: 3627

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

| <u>Examiner Initial*</u> | <u>Document Number</u> | <u>Issue Date</u> | <u>Name</u> |
|------------------------------|----------------------------|-----------------------|---------------|
| ____ 1 | 5,951,560 | 09/14/99 | Simon, et al. |

Foreign Patent Documents

| <u>Examiner Initial*</u> | <u>Document Number</u> | <u>Publication Date</u> | <u>Country or Patent Office</u> |
|------------------------------|----------------------------|-----------------------------|-------------------------------------|
| ____ 2 | EP 0611557 | 08/24/04 | EPO |
| ____ 3 | EP 0669110 | 08/30/95 | EPO |
| ____ 4 | FR 2732211 | 10/04/96 | France |
| ____ 5 | FR 2745999 | 09/19/97 | France |

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Examiner:

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 2 of 2

Applicant:

Hugh S. West, Jr.

Serial No.

09/977,154

Confirmation No.

3848

Filing Date:

October 12, 2001

For:

IMPROVED INTERFERENCE SCREW HAVING INCREASED UPPER DIAMETER

Att'y Docket No. 14000.11

Group: 3627



References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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